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P/2-63 2161  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Roger P. Hoffman

SERIAL NO.: 09/609,801

EXAMINER: To Be Assigned

FILING DATE: July 3, 2000

GROUP ART UNIT: 2761

FOR: INTERNET EXPENSE ACCOUNT

Assistant Commissioner for Patents  
Washington, D.C. 20231

NE  
#3 Raef Agha 7/12/01  
RECEIVED  
7/12/01

JUL 12 2001  
Technology Center 2100

PRELIMINARY AMENDMENT

Sir:

Preliminary to Examination, please amend the above-identified application as follows:

IN THE SPECIFICATION:

Below is a clean replacement of the first full paragraph on page 3. Please replace the original paragraph with the following:

-- U.S. Patent 5,739,512 relates to a system for digital delivery of receipts. Digital receipts can be delivered over a proprietary or over an open network such as the Internet. It can be uploaded to a smart card. It can standardize in format to facilitate automated processing. An e-mail address can be incorporated into a bank card or other machine readable and for automatic routing of the receipt to a payor's e-mailbox. This invention does not organize the receipts in any way. --



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
09/609,801	07/03/01	HOFFMAN	R P/2-63

TM11/0717

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EXAMINER

COGGINS, W

ART UNIT

PAPER NUMBER

2165

DATE MAILED:

07/17/01

*Pat*  
#3/NE

### Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment filed on 7/6/01 is considered non-compliant because it has not been submitted in the format required under 37 CFR 1.121, as amended on September 8, 2000 (see 65 Fed. Reg. 54603, Sept. 8, 2000, and 1238 O.G. 77, Sept. 19, 2000).

- 1. The amendment does not include a clean version of the replacement paragraph(s)/section(s). 37 CFR 1.121(b)(1)(ii).
- 2. The amendment does not include a marked-up version of the replacement paragraph(s)/section(s). 37 CFR 1.121(b)(1)(iii)
- 3. The amendment does not include a clean version of the amended claim(s). 37 CFR 1.121(c)(1)(i)
- 4. The amendment does not include a marked-up version of the amended claim(s). 37 CFR 1.121(c)(1)(ii)
- 5. Other *The instructions are to be placed with the marked up version, and the clean version is not to be a photocopy of the originally filed application.*
- PRELIMINARY AMENDMENT:** Unless applicant re-submits the preliminary amendment in compliance with revised 37 CFR 1.121 within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.
- AMENDMENT AFTER NON-FINAL ACTION:** Since the above mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of ONE (1) MONTH or THIRTY (30) DAYS from the mailing date of this notice, whichever is longer, within which to supply the omission or correction in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

For your convenience, attached to this correspondence is a copy of an informational flyer (MPEP Bookmark Bulletin on "Simplified Amendment Practice").

*J. Haynes*  
Legal Instruments Examiner